JC10 Recid 7 . 70 1 3 NOV 2001

FORM PTO-1390 US DEPARTMENT OF COMMERCE REV. 5-93PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO. PCT/DE00/01508

INTERNATIONAL FILING DATE

12 MAY 2000

ATTORNEYS DOCKET NUMBER

PRIORITY DATE CLAIMED

P01,0404

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/009656

12 MAY 1999

APPLICANT(S) FOR DO/EO/US

TITLE OF INVENTION

Karlheinz ARNDT, et al.

LED ARRANGEMENT

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1 🛭
- This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. 🗆
- This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 3. ⊠
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. ⊠
- A copy of International Application as filed (35 U.S.C. 371(c)(2)). 5. ⊠
 - is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. □ has been transmitted by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US) c. 🗆
- A translation of the International Application into English (35 U.S.C. 371(c)(2). 6. ⊠
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) 7. ⊠
 - a. 🗆 are transmitted herewith (required only if not transmitted by the International Bureau).
 - have been transmitted by the International Bureau. b. □
 - have not been made; however, the time limit for making such amendments has NOT expired. c. 🗆
 - have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 🗆
- An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNSIGNED 9. 🛭
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. •⊠

Items 11. to 16. below concern other document(s) or information included:

- An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report, References). 11. 🗷
- An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 12. 🗆 (SEE ATTACHED ENVELOPE)
- 13. ⊠ Preliminary Amendment
 - A SECOND or SUBSEQUENT preliminary amendment.
- 14. ⊠ A substitute specification and substitute specification mark-up.
- A change of address letter attached to the Declaration. 15. □
- 16. ⊠ Other items or information:
 - a.

 Submission of 2 sheets of Drawings
 - b. & EXPRESS MAIL #EL 843743719 US dated November 13, 2001

				NTERNATIONAL APPLICATION NO PCT/DE00/01508		ATTORNEYS DOCKET NUMBER P01,0404	
17. ☑ The following fees are submitted:						CALCULATIONS	PTO USE ONLY
	BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO \$890.00						
	International preliminary examination fee paid to USPTO (37 C F R 1 482) \$710 00						
	No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2) \$740.00						
Neither international preliminary examination fee (37 C.F.R. 1 482) nor international search fee (37 C.F.R. 1 445(a)(2) paid to USPTO \$1040.00							
International preliminary examination fee paid to USPTO (37 C F.R. 1 482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00							
ENTER APPROPRIATE BASIC FEE AMOUNT =						\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than \Box 20 \Box 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).						\$	
Claims		Number Filed		Number Extra	Rate		
Total Claims		17	- 20 =	0	X \$ 18.00	\$	
Independent Claims		01	- 3 =	0	X \$ 84.00	\$	
Multiple Dependent Claims \$280.00 +						\$	
TOTAL OF ABOVE CALCULATIONS =						\$ 890.00	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)						\$	
SUBTOTAL =						\$ 890.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 0.30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$	
TOTAL NATIONAL FEE =						\$ 890.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +							
TOTAL FEES ENCLOSED =						\$ 890.00	
						Amount to be refunded	\$
						charged	\$
a. A check in the amount of \$890.00 to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
C. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1519. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND	ALL CORRESPONE	DENCE TO:	<	54-A	1 Not	0 J	
SCHIFF HARDIN & WAITE PATENT DEPARTMENT			Signature Steven H. Noll Attorney for Applicants				
6600 Sears Tower 233 South Wacker Drive			Registratio	Registration No. 28,982			
Chica	ago, Illinois 606	06-6473					

CUSTOMER NUMBER 26574